

2805-1955

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/009023

INTERNATIONAL APPLICATION NO.
PCT/AU01/00392INTERNATIONAL FILING DATE
April 6, 2001PRIORITY DATE CLAIMED
April 6, 2000TITLE OF INVENTION
STARCH SUB-TYPES AND LIPID METABOLISMAPPLICANT(S) FOR DO/EO/US
BROWN, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. A substitute specification.
18. A change of power of attorney and/or address letter.
19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. Certificate of Mailing by Express Mail
23. Other items or information:

Return receipt postcard

U.S. APPLICATION NO. (IF KNOWN, SEE 37	INTERNATIONAL APPLICATION NO. PCT/AU01/00392	ATTORNEY'S DOCKET NUMBER 28053/37955																				
10/00902		CALCULATIONS PTO USE ONLY																				
<p>24. The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (S)) :</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;"><input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO</td> <td style="width: 20%; text-align: right; padding: 2px;">\$1040.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO</td> <td style="text-align: right; padding: 2px;">\$890.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td style="text-align: right; padding: 2px;">\$740.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)</td> <td style="text-align: right; padding: 2px;">\$710.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)</td> <td style="text-align: right; padding: 2px;">\$100.00</td> </tr> </table> <p style="text-align: center; padding: 2px;">ENTER APPROPRIATE BASIC FEE AMOUNT = <input style="width: 100px; border: 1px solid black; border-radius: 5px; padding: 2px; text-align: right; text-decoration: underline;" type="text"/>\$1,040.00</p>			<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1040.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$740.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$710.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00										
<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1040.00																					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00																					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$740.00																					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$710.00																					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00																					
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).</p> <p style="text-align: right; padding: 2px;"><input type="checkbox"/> 20 <input type="checkbox"/> 30</p>		\$0.00																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%; padding: 2px;">CLAIMS</th> <th style="width: 20%; padding: 2px;">NUMBER FILED</th> <th style="width: 20%; padding: 2px;">NUMBER EXTRA</th> <th style="width: 20%; padding: 2px;">RATE</th> <th style="width: 20%; padding: 2px;"></th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">Total claims</td> <td style="padding: 2px;">36 - 20 =</td> <td style="padding: 2px;">16</td> <td style="padding: 2px;">x \$18.00</td> <td style="padding: 2px;">\$288.00</td> </tr> <tr> <td style="padding: 2px;">Independent claims</td> <td style="padding: 2px;">9 - 3 =</td> <td style="padding: 2px;">6</td> <td style="padding: 2px;">x \$84.00</td> <td style="padding: 2px;">\$504.00</td> </tr> <tr> <td colspan="4" style="padding: 2px;">Multiple Dependent Claims (check if applicable).</td> <td style="padding: 2px; text-align: right;">□ \$0.00</td> </tr> </tbody> </table>			CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	36 - 20 =	16	x \$18.00	\$288.00	Independent claims	9 - 3 =	6	x \$84.00	\$504.00	Multiple Dependent Claims (check if applicable).				□ \$0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																			
Total claims	36 - 20 =	16	x \$18.00	\$288.00																		
Independent claims	9 - 3 =	6	x \$84.00	\$504.00																		
Multiple Dependent Claims (check if applicable).				□ \$0.00																		
<p style="text-align: center; padding: 2px;">TOTAL OF ABOVE CALCULATIONS = <input style="width: 100px; border: 1px solid black; border-radius: 5px; padding: 2px; text-align: right; text-decoration: underline;" type="text"/>\$1,832.00</p>																						
<p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.</p> <p style="text-align: right; padding: 2px;"><input type="checkbox"/> \$0.00</p>																						
<p style="text-align: center; padding: 2px;">SUBTOTAL = <input style="width: 100px; border: 1px solid black; border-radius: 5px; padding: 2px; text-align: right; text-decoration: underline;" type="text"/>\$1,832.00</p>																						
<p>Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).</p> <p style="text-align: right; padding: 2px;"><input type="checkbox"/> 20 <input type="checkbox"/> 30 +</p> <p style="text-align: right; padding: 2px;">\$0.00</p>																						
<p style="text-align: center; padding: 2px;">TOTAL NATIONAL FEE = <input style="width: 100px; border: 1px solid black; border-radius: 5px; padding: 2px; text-align: right; text-decoration: underline;" type="text"/>\$1,832.00</p>																						
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).</p> <p style="text-align: right; padding: 2px;">□ \$0.00</p>																						
<p style="text-align: center; padding: 2px;">TOTAL FEES ENCLOSED = <input style="width: 100px; border: 1px solid black; border-radius: 5px; padding: 2px; text-align: right; text-decoration: underline;" type="text"/>\$1,832.00</p>																						
<table border="0" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; padding: 2px; text-align: right;">Amount to be: refunded</td> <td style="width: 40%; text-align: right; padding: 2px;">\$</td> </tr> <tr> <td colspan="2" style="padding: 2px; text-align: right;">charged</td> </tr> </table>			Amount to be: refunded	\$	charged																	
Amount to be: refunded	\$																					
charged																						
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,832.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2855</u> A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																						
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																						
<p>SEND ALL CORRESPONDENCE TO:</p> <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>Jeffrey S. Sharp Customer No. 04743 Marshall, Gerstein & Borun 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606 United States of America (312) 474-6300 (312) 474-0448 (fax)</p> </div>																						
 <p>SIGNATURE</p> <p>Jeffrey S. Sharp</p> <p>NAME</p> <p>31,879</p> <p>REGISTRATION NUMBER</p> <p>December 5, 2001</p> <p>DATE</p>																						

28053/37955

HARRIS TRUST AND SAVINGS BANK
CHICAGO, ILLINOIS

10/009023

J...cc'd PCT/PTC 05 DEC 2001

The Patent Office is hereby requested to acknowledge receipt
 of the following papers by stamping and returning this card.
 WITH SERIAL NO. AND FILING DATE
 Brown et al. "Starch Lip-Types And Lipid Metabolism"
 Transmittal Letter to The United States Designated/Elected
 Office Concerning a Filing Under 35 U.S.C. 371
 Fee \$1,832.00 Check No. 68713
 First Preliminary Amendment;
 Information Disclosure Statement w/Form
 PTO-1449 w/ 5 Foreign, 3 Other
 w/certificate of mailing by U.S.P.S. Express Mail dated
 December 5, 2001 w/label no. EL564465408US

120501
12/5/2001AMOUNT
*****1,832.00

157611711

PATENTS & TRADEMARKS

68

BALA

1,832.00

DATE	INVOICE NUMBER
12/05/2001	BROWN
CHECK DATE	CHECK NUMBER
12/05/2001	000068713

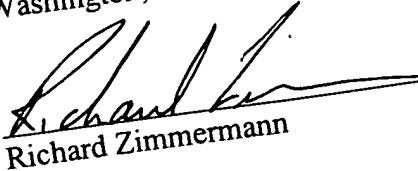
28053/37955

FILE COPY

1.8

FORM 27699 - JOHN J. BAGGOT & CO., INC. (312) 559-1050 (08/01)

I hereby certify that this paper (or fee) is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F. on the dated indicated above and is addressed to the Commissioner for Patents, Box Washington, D.C. 20231.



Richard Zimmermann